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8	BEFORE THE
9	BOARD FOR PROFESSIONAL ENGINEERS AND LAND SURVEYORS DEPARTMENT OF CONSUMER AFFAIRS
10	STATE OF CALIFORNIA
11	In the Matter of the Accusation Against: Case No. 930-A
12	MARIUS EUGENE NELSEN 21801 Stevens Creek Boulevard, Suite 8 A C C U S A T I O N
13	Cupertino, CA 95014,
14	Civil Engineer License No. C 20597,
15	Respondent.
16	Complainant alleges:
17	PARTIES
18	1. David E. Brown (Complainant) brings this Accusation solely in his official capacity
19	as the Executive Officer of the Board for Professional Engineers and Land Surveyors,
20	Department of Consumer Affairs.
21	2. On or about April 26, 1971, the Board for Professional Engineers and Land Surveyors
22	issued Civil Engineer License Number C 20597 to Marius Eugene Nelsen (Respondent). The
23	Civil Engineer License was in full force and effect at all times relevant to the charges brought
24	herein and will expire on September 30, 2011, unless renewed.
25	<u>JURISDICTION</u>
26	3. This Accusation is brought before the Board for Professional Engineers and Land
27	Surveyors (Board), Department of Consumer Affairs, under the authority of the following laws.
28	All section references are to the Business and Professions Code unless otherwise indicated.

4. Section 8759 of the Code states:

"(a) A licensed land surveyor or registered civil engineer authorized to practice land surveying shall use a written contract when contracting to provide professional services to a client pursuant to this chapter. The written contract shall be executed by the licensed land surveyor or registered civil engineer and the client, or his or her representative, prior to the licensed land surveyor or registered civil engineer commencing work, unless the client knowingly states in writing that work may be commenced before the contract is executed. The written contract shall include, but not be limited to, all of the following:

- (1) A description of the services to be provided to the client by the licensed land surveyor or registered civil engineer.
- (2) A description of any basis of compensation applicable to the contract, and the method of payment agreed upon by the parties.
- (3) The name, address, and license or certificate number of the licensed land surveyor or registered civil engineer, and the name and address of the client.
- (4) A description of the procedure that the licensed land surveyor or registered civil engineer and the client will use to accommodate additional services.
 - (5) A description of the procedure to be used by any party to terminate the contract.
 - (b) This section shall not apply to any of the following:
- (1) Professional land surveying services rendered by a licensed land surveyor or registered civil engineer for which the client will not pay compensation.
- (2) A licensed land surveyor or registered civil engineer who has a current or prior contractual relationship with the client to provide professional services pursuant to this chapter, and that client has paid the surveyor or engineer all of the fees that are due under the contract.
- (3) If the client knowingly states in writing after full disclosure of this section that a contract which complies with the requirements of this section is not required.
- (4) Professional services rendered by a licensed land surveyor or a registered civil engineer to any of the following:

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- (A) A professional engineer licensed or registered under Chapter 7 (commencing with Section 6700).
 - (B) A land surveyor licensed under this chapter.
 - (C) An architect licensed under Chapter 3 (commencing with Section 5500).
 - (D) A contractor licensed under Chapter 9 (commencing with Section 7000).
- (E) A geologist or a geophysicist licensed under Chapter 12.5 (commencing with Section 7800).
- (F) A manufacturing, mining, public utility, research and development, or other industrial corporation, if the services are provided in connection with or incidental to the products, systems, or services of that corporation or its affiliates.
 - (G) A public agency.
 - (c) "Written contract" as used in this section includes a contract that is in electronic form..
 - 5. Section 8762 of the Code states:
- "(a) Except as provided in subdivision (b), after making a field survey in conformity with the practice of land surveying, the licensed surveyor or licensed civil engineer may file with the county surveyor in the county in which the field survey was made, a record of the survey.
- (b) Notwithstanding subdivision (a), after making a field survey in conformity with the practice of land surveying, the licensed land surveyor or licensed civil engineer shall file with the county surveyor in the county in which the field survey was made a record of the survey relating to land boundaries or property lines, if the field survey discloses any of the following:
- (1) Material evidence or physical change, which in whole or in part does not appear on any subdivision map, official map, or record of survey previously recorded or properly filed in the office of the county recorder or county surveying department, or map or survey record maintained by the Bureau of Land Management of the United States.
- (2) A material discrepancy with the information contained in any subdivision map, official map, or record of survey previously recorded or filed in the office of the county recorder or the county surveying department, or any map or survey record maintained by the Bureau of Land

Management of the United States. For purposes of this subdivision, a "material discrepancy" is limited to a material discrepancy in the position of points or lines, or in dimensions.

- (3) Evidence that, by reasonable analysis, might result in materially alternate positions of lines or points, shown on any subdivision map, official map, or record of survey previously recorded or filed in the office of the county recorder or the county surveying department, or any map or survey record maintained by the Bureau of Land Management of the United States.
- (4) The establishment of one or more points or lines not shown on any subdivision map, official map, or record of survey, the positions of which are not ascertainable from an inspection of the subdivision map, official map, or record of survey.
- (5) The points or lines set during the performance of a field survey of any parcel described in any deed or other instrument of title recorded in the county recorder's office are not shown on any subdivision map, official map, or record of survey.
- (c) The record of survey required to be filed pursuant to this section shall be filed within 90 days after the setting of boundary monuments during the performance of a field survey or within 90 days after completion of a field survey, whichever occurs first.
- (d)(1) If the 90-day time limit contained in subdivision (c) cannot be complied with for reasons beyond the control of the licensed land surveyor or licensed civil engineer, the 90-day time period shall be extended until the time at which the reasons for delay are eliminated. If the licensed land surveyor or licensed civil engineer cannot comply with the 90-day time limit, he or she shall, prior to the expiration of the 90-day time limit, provide the county surveyor with a letter stating that he or she is unable to comply. The letter shall provide an estimate of the date for completion of the record of survey, the reasons for the delay, and a general statement as to the location of the survey, including the assessor's parcel number or numbers.
- (2) The licensed land surveyor or licensed civil engineer shall not initially be required to provide specific details of the survey. However, if other surveys at the same location are performed by others which may affect or be affected by the survey, the licensed land surveyor or licensed civil engineer shall then provide information requested by the county surveyor without unreasonable delay.

- (e) Any record of survey filed with the county surveyor shall, after being examined by him or her, be filed with the county recorder.
- (f) If the preparer of the record of survey provides a postage-paid, self-addressed envelope or postcard with the filing of the record of survey, the county recorder shall return the postage-paid, self-addressed envelope or postcard to the preparer of the record of survey with the filing data within 10 days of final filing. For the purposes of this subdivision, "filing data" includes the date, the book or volume, and the page at which the record of survey is filed with the county recorder."
 - 6. Section 8780 of the Code states:

"The board may receive and investigate complaints against licensed land surveyors and registered civil engineers, and make findings thereon.

By a majority vote, the board may reprove, suspend for a period not to exceed two years, or revoke the license or certificate of any licensed land surveyor or registered civil engineer, respectively, licensed under this chapter or registered under the provisions of Chapter 7 (commencing with Section 6700), whom it finds to be guilty of:

- (a) Any fraud, deceit, or misrepresentation in his or her practice of land surveying.
- (b) Any negligence or incompetence in his or her practice of land surveying.
- (d) Any violation of any provision of this chapter or of any other law relating to or involving the practice of land surveying.
 - (g) A breach or violation of a contract to provide land surveying services.
- 7. Section 6775 of the Code states, in pertinent part, that "[T]he board may reprove, suspend for a period not to exceed two years, or revoke the certificate of any professional engineer registered under this chapter:

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- (c) Who has been found guilty by the board of negligence or incompetence in his or her practice.
- (d) Who has been found guilty by the board of any breach or violation of a contract to provide professional engineering services.

"(h) Who violates any provision of this chapter."

8. Section 6735 of the Code states:

"(a) All civil (including structural and geotechnical) engineering plans, calculations, specifications, and reports (hereinafter referred to as "documents") shall be prepared by, or under the responsible charge of, a licensed civil engineer and shall include his or her name and license number. Interim documents shall include a notation as to the intended purpose of the document, such as "preliminary," "not for construction," "for plan check only," or "for review only." All civil engineering plans and specifications that are permitted or that are to be released for construction shall bear the signature and seal or stamp of the licensee and the date of signing and sealing or stamping. All final civil engineering calculations and reports shall bear the signature and seal or stamp of the licensee, and the date of signing and sealing or stamping. If civil engineering plans are required to be signed and sealed or stamped and have multiple sheets, the signature, seal or stamp, and date of signing and sealing or stamping shall appear on each sheet of the plans. If civil engineering specifications, calculations, and reports are required to be signed and sealed or stamped and have multiple pages, the signature, seal or stamp, and date of signing and sealing or stamping shall appear at a minimum on the title sheet, cover sheet, or signature sheet.

9. Section 6749 of the Code states:

"(a) A professional engineer shall use a written contract when contracting to provide professional engineering services to a client pursuant to this chapter. The written contract shall be executed by the professional engineer and the client, or his or her representative, prior to the professional engineer commencing work, unless the client knowingly states in

writing that work may be commenced before the contract is executed. The written contract shall include, but not be limited to, all of the following:

- (1) A description of the services to be provided to the client by the professional engineer.
- (2) A description of any basis of compensation applicable to the contract, and the method of payment agreed upon by the parties.
- (3) The name, address, and license or certificate number of the professional engineer, and the name and address of the client.
- (4) A description of the procedure that the professional engineer and the client will use to accommodate additional services.
- (5) A description of the procedure to be used by any party to terminate the contract.
 ..."
- 10. Section 125.3 of the Code provides, in pertinent part, that the board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

SHAFER PROJECT

- 11. On or about February 22, 2006, Robert Shaffer hired Nelson Engineering, Marius E. Nelson (Respondent), President, to perform land surveying services at property identified as 20425 Iron Springs Road, Los Gatos, California (hereinafter "Shafer project"). Respondent's proposal included the following services:
- a. Obtain field data to prepare a topographic map of the future leaching area (including the middle parking pad) at the subject property.
- b. Obtain field data to prepare a topographic map of the upper building site at the subject property.
- c. Obtain field data to verify the locations of the iron pipes at the property corners at the subject property.

In March, June and September 2006, Respondent prepared the Maps entitled Lands of Shafer, APN 544-34-033, County of Santa Clara, California (hereinafter "Shafer Maps") based on

field surveys that were performed in March, June and September 2006. The Shafer Maps depict the parcel and topographic data located in the field. The Shafer Maps also show control points, the benchmark, bearings and distances on the boundary lines, monuments and notes regarding the relationship of the boundary lines and corners to the found monuments with a tie to the monuments by bearing and distance from the property corners. The boundary lines appeared to have been based on the Record of Survey and Corner Record dated June 2002, but there was no indication of these documents on the Shafer Maps.

FIRST CAUSE FOR DISCIPLINE

(Incompetence and Negligence)

12. Respondent is subject to disciplinary action under section 8780(b) in that Respondent failed to depict and annotate the record and non-record monument data found in the field, and based on the Record of Survey and Corner Record, on the Shafer Maps. Respondent should have shown the basis of establishment of the boundary lines on the Shafer Maps. This information is also necessary if questions arise regarding the survey of the boundary lines shown on the Shafer Maps. Failure to provide this information demonstrates incompetence and/or negligence in the practice of land surveying.

SECOND CAUSE FOR DISCIPLINE

(Incompetence and Negligence)

13. Respondent is subject to disciplinary action under section 8780(b) in that Respondent failed to prepare and file a Record of Survey as required by section 8762(b)(5). The boundary lines and monuments established and shown on the Shafer Maps required preparing and filing a Record of Survey since the parcel is described in a deed and is not shown on a map of record and material discrepancies exist with the information contained in the record deed and the information shown on the Shafer Maps. This conduct demonstrates incompetence and/or negligence in the practice of land surveying.

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THIRD CAUSE FOR DISCIPLINE

(Negligence/Breach of Contract)

14. Respondent is subject to disciplinary action under section 8780(b) and/or 8780(g) in that Respondent failed to address, check and verify Mr. Shafer's questions and concerns regarding the topographic data shown on the Shafer Maps following Respondent's completion of the maps. This conduct demonstrates negligence and/or a breach of contractual obligations.

FOURTH CAUSE FOR DISCIPLINE

(Incompetent and Negligent and Misrepresentation)

15. Respondent is subject to disciplinary action under section 8780(b) and/or 8780(a) in that Respondent failed to show any notes regarding the establishment of the survey of the boundary lines of the parcel as shown on the Shafer Maps and failed to show any references to the reference documents upon which they were based. In addition, Respondent failed to include any disclaimers on the Shafer Maps regarding the boundary lines he represented to his client and the basis of the boundary lines shown thereon, such as "the information shown on this map does not represent a boundary survey of the lands shown hereon," if a boundary survey was not intended to be shown on the map. This failure amounts to a misrepresentation of the purpose of the map and the survey shown on it by any person using these Shafer Maps. This conduct demonstrates incompetence and/or negligence and/or misrepresentation in the practice of land surveying.

FIFTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct)

16. Respondent is subject to disciplinary action under section 8780(d), in that Respondent's contract with Robert Shafer failed to describe the procedure to be used by the parties to terminate the contract, in violation of sections 8759(a)(5).

COX PROJECT

17. Warren Cox owned six vacant residential parcels ("Cox Parcels") in the city of Morgan Hill. Mr. Cox sought to reconfigure and develop the Cox Parcels. On or about January 15, 2006, Mr. Cox entered into a contract with Respondent to obtain topographic data and to

prepare improvement plans for the Cox Parcels. Later Mr. Cox and Respondent verbally agreed that Respondent would prepare a Parcel Map for the Cox Parcels.

Respondent prepared a number of maps and improvement plans, including the following: (1) A Lot Line Adjustment Parcel Map prepared in December 2006 which dealt with four of the six Cox Parcels; (2) a draft Parcel Map prepared on or about September 11, 2007; and (3) a draft improvement plan prepared September 27, 2007, which involved all six of the Cox Parcels.

SIXTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct)

18. Respondent is subject to disciplinary action under section 6775(h), in that Respondent failed to comply with sections 6749(a)(5) in that Respondent's contract with Mr. Cox dated January 15, 2006, failed to describe the procedure to be used by the parties to terminate the contract.

SEVENTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct)

19. Respondent is subject to disciplinary action under section 6775(h), in that Respondent failed to comply with sections 6735(a) in that Respondent's draft improvement plan prepared September 27, 2007, failed to contain Respondent's Registered Civil Engineer (RCE) license number as required.

EIGHTH CAUSE FOR DISCIPLINE

(Negligence)

- 20. Respondent is subject to disciplinary action under section 8780(c) in that Respondent did not use the care ordinarily exercised in like cases by duly licensed professional engineers in the practice of land surveying as follows:
- a. Respondent's Lot Line Adjustment Parcel Map prepared in December 2006 failed to analytically resolve boundary discrepancies. Respondent's boundary solution relied exclusively upon two found centerline monuments in Paloma Drive. Iron pins stamped RCE 13173 ("Mulberg Pins") were called off by 1.9 feet. Respondent failed to locate any additional control, failed to conduct a thorough office and field search for record monuments for adjoining parcels

1	and failed to incorporate any resulting information into his boundary resolutions for the Cox
2	Parcels and related easements.
3	NINTH CAUSE FOR DISCIPLINE
4	(Unprofessional Conduct)
5	21. Respondent is subject to disciplinary action under section 6775(d) and/or section
6	8780(g), in that Respondent breached his verbal contract with Mr. Cox to prepare a Parcel Map.
7	Respondent failed to complete and deliver this work product to Mr. Cox.
8	PRAYER
9	WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,
10	and that following the hearing, the Board for Professional Engineers and Land Surveyors issue a
11	decision:
12	1. Revoking or suspending Civil Engineer License Number C 20597, issued to Marius
13	Eugene Nelsen;
14	2. Ordering Marius Eugene Nelsen to pay the Board for Professional Engineers and
15	Land Surveyors the reasonable costs of the investigation and enforcement of this case, pursuant to
16	Business and Professions Code section 125.3;
17	 Taking such other and further action as deemed necessary and proper.
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20	A A a Confidence of an ed
21	DATED: AND STORY Original Signed
22	Executive Officer
23	Board for Professional Engineers and Land Surveyors Department of Consumer Affairs
24	State of California Complainant
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